



MINUTES OF A MEETING OF THE AUDIT AND GOVERNANCE COMMITTEE HELD ON 26th SEPTEMBER 2013

PRESENT: Councillor M Gant (Chair), Councillors M Thurgood, M Couchman, K Gant, S People and P Seekings

Officers John Wheatley (Executive Director Corporate Services), Jane Hackett (Solicitor to the Council and Monitoring Officer), Stefan Garner (Director of Finance) and Angela Struthers (Head of Internal Audit Services)

Visitors James Cook (Grant Thornton)
Joan Barnett (Grant Thornton)
Andy Davies (Grant Thornton)

20 APOLOGIES FOR ABSENCE

There were no apologies for absence.

21 MINUTES OF THE MEETINGS HELD ON 19 JUNE 2013 AND 27 JUNE 2013

The minutes of the meeting held on 19 June 2013 and 27 June 2013 were approved and signed as a correct record.

(Moved by Councillor M Gant and seconded by Councillor K Gant)

22 DECLARATIONS OF INTEREST

There were no Declarations of Interest.

23 ANNUAL STATEMENT OF ACCOUNTS & REPORT 2012/13

The Annual Statement of Accounts and Report 2012/13 was considered.

RESOLVED: That the Annual Statement of Accounts 2012/13 be approved.

(Moved by Councillor M Gant and seconded by Councillor K Gant)

24 THE AUDIT FINDINGS FOR TAMWORTH BOROUGH COUNCIL

The Report of Grant Thornton (External Auditor) was considered.

RESOLVED: That the Audit Findings for the year ended 31 March 2013 be endorsed

(Moved by Councillor M Gant and seconded by Councillor M Couchman)

25 GRANT CLAIM REPORT

The Report of Grant Thornton (External Auditor) was considered.

RESOLVED: That:

- 1 The Grant Claim Form be endorsed subject to any qualifications that may be imposed due to small processing errors, and;
- 2 Officers be thanked for their hard work and endeavours in housing and council tax benefit services.

(Moved by Councillor M Gant and seconded by Councillor M Thurgood)

26 FINANCIAL RESILIENCE REPORT

The Report of Grant Thornton (External Auditor) was considered.

RESOLVED: That the Financial Resilience Report be endorsed and a further report will be brought to the next Committee responding to Key Indicators of the Management Report.

(Moved by Councillor M Couchman and seconded by Councillor M Gant)

27 INTERNAL AUDIT PERFORMANCE MEASURES REPORT

The Report of the Head of Internal Audit Services reporting on the outcome of Internal Audit's customer survey and define a basket of performance indicators for Internal Audit was considered.

RESOLVED: That Members endorse:

- 1 The results of the Internal Audit customer satisfaction survey, and;
- 2 A small basket of performance indicators for Internal

Audit.

(Moved by Councillor M Gant and seconded by Councillor S Peaple)

28 INTERNAL AUDIT QUARTERLY REPORT 2013/14 QUARTER 1

The Report of the Head of Internal Audit Services reporting on the outcome of Internal Audit's review of the internal control, risk management and governance framework in the 1st quarter of 2013/14 and providing members with assurance of the ongoing effective operation of an internal audit function and enabling any particularly significant issues to be brought to the Committee's attention was considered.

RESOLVED: That the Committee endorse the Internal Audit Quarterly Report and agreed the Internal Audit Performance Reports and Implemented and Agreed Management Actions.

(Moved by Councillor M Gant and seconded by Councillor S Peaple)

29 RISK MANAGEMENT REPORT

The Report of Head of Internal Audit Services reporting on the Risk Management process and progress to date for the current financial year was considered.

RESOLVED: That the Corporate Risk Register be endorsed.

(Moved by Councillor M Gant and seconded by Councillor M Couchman)

30 REGULATION OF INVESTIGATORY POWERS ACT 2000

The Report of the Solicitor to the Council and Monitoring Officer informing Members of the surveillance carried out under the Regulation of Investigatory Powers Act 2000 was considered.

RESOLVED: That the quarterly RIPA monitoring report be endorsed.

(Moved by Councillor S Peaple and seconded by Councillor M Couchman)

31 LOCAL GOVERNMENT OMBUDSMAN - ANNUAL REVIEW & REPORT

The Report of the Solicitor to the Council and Monitoring Officer advising the Committee of the views of the Local Government Ombudsman in relation to

complaints against the Borough Council and providing an opportunity for members of the Committee to raise any issues they consider appropriate and consider the effectiveness of investigations relating to Tamworth Borough Council was considered.

RESOLVED: That the Annual Review Letter be endorsed.

(Moved by Councillor M Gant and seconded by Councillor M Couchman)

Chair